



07-15-04

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Thomas L. Evans
Thomas L. Evans

Atty. Docket No.
005313.00003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Marc D. VANHEYNINGEN ET AL.

Examiner: Gilberto Barron, Jr.

U.S. Pat. App. No.: 09/783,146

Group Art Unit: 2132

Filed: February 13, 2001

For: METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING DATA
TRANSMISSION FACILITIES USING UNRELIABLE PROTOCOLS

INFORMATION DISCLOSURE STATEMENT**RECEIVED**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

JUL 20 2004

Technology Center 2100

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 6,148,405 A to Liao et al., issued November 14, 2000; and
- (2) U.S. Patent Publication No. 2002/0094085 A1 to Roberts, published July 18, 2002.

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached. All of the documents are in English, so Applicants respectfully urge that further comment is unnecessary in accordance with 37 C.F.R. 1.98(a)(3).

A first Official Action has not yet issued for this application. Therefore, Applicant submits that no fees are required for the Examiner's consideration of the documents listed in this Information Disclosure Statement. If, however, the Commissioner deems that fees are due for submission of this Information Disclosure Statement, the Commissioner is hereby authorized to charge said fees to Deposit Account No. 19-0733.

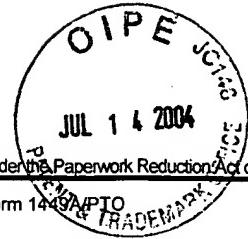
It is respectfully requested that the documents listed above be considered by the Examiner in the above-identified patent application and that they be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent that may issue from this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

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July 14, 2004



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449-AUTO & TRADEMARK

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/783,146
Filing Date	February 13, 2001
First Named Inventor	Marc D. VanHeyningen et al.
Art Unit	2132
Examiner Name	Gilberto Barron, Jr.
Attorney Docket Number	005313.00003

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 6,148,405 A	11-14-2000	Liao et al.	
		US- 2002/0094085 A1	07-18-2002	Roberts	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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